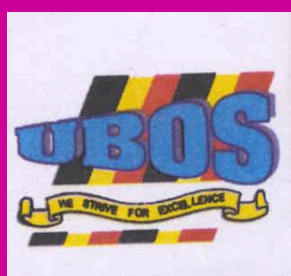


Private Sector Investment Survey (PSIS) 2022

QUESTIONNAIRE



To coordinate the development and maintenance of a National Statistical System



To foster price stability and a sound financial system



To promote and facilitate investment projects, provide serviced land, and advocate for a competitive business environment

Please help us monitor economic development and formulate better policies.

May 2022

Private Sector Investment Survey (PSIS) 2022

A. Introduction

Bank of Uganda (BOU), in collaboration with Uganda Bureau of Statistics (UBOS) and Uganda Investment Authority (UIA), are conducting the eighteenth Private Sector Investment Survey. The survey will collect data on private capital flows and stocks for the calendar years 2018, 2019, 2020 and 2021, plus Investor Perceptions for the year 2021.

The PSIS 2019 collected data for years 2017 and 2018, and was conducted from May to August 2019. Foreign Direct Investment (FDI) transactions increased by US\$252.7 million to US\$1,055.4 million in 2018, from US\$802.6 million in 2017. The increase in FDI inflows in 2018 was mainly on account of higher inflows of related debt, which increased to US\$345.9 million during 2018, from US\$94.3 million in 2017. Detailed results of the survey and other previous surveys can be accessed from the BOU website: <https://www.bou.or.ug/bou/bouwebsite/Statistics/Reports/PSIS.html> or through formal requests to the Director, Statistics Department, BOU.

1. *How do you benefit?*

The regular PSISs' are a rich source of evidence-based information that facilitates effective planning and policy formulation, which benefits both the public and private sectors.

2. *Why do we need to collect this information?*

The information is a key input in the compilation of Uganda's Balance of Payments (BOP) and the International Investment Position (IIP) statistics. It is also important for assessing the perceptions of private investors on the investment climate.

B. Guidelines

1. *Who needs to complete this questionnaire?*

The Chief Executive Officer or a designated representative of the targeted enterprise shall fill the questionnaire.

2. *Which parts of the questionnaire do I have to fill?*

While the questionnaire might look long, please note that it is unlikely that all parts of questionnaire will be relevant to your enterprise, and you may not need to fill them in. **Please complete Part 1, 2 and 5, which are compulsory**, and refer to the three (3) filtering questions in **Table 1.12** (page 8 of 17) to identify and complete those **questions that will be relevant to your enterprise**.

3. *What is the Legal Mandate to collect this data?*

The stakeholder institutions are empowered to collect this data by the **Investment Code 2019**, the **Uganda Bureau of Statistics Act, 1998** and the **Foreign Exchange Act, 2004**. We wish to re-assure you that all information provided by your entity will be treated with strict **confidentiality** and will be used and published only as aggregated statistics for analysis and policy formulation purposes. The interviewers and staff involved in the PSIS 2022 are under oath of secrecy not to disclose any entity specific information to a third-party individual/entity.

4. Why are Financial Statements required?

Much of the information required for this survey can be obtained from your annual financial statements covering the years from 2018 to 2021. **Please provide a copy of your financial statements covering calendar years 2018, 2019, 2020 and 2021 along with the duly completed questionnaire, to avoid call backs for clarification where needed.**

5. Do you need assistance?

Our interviewers are available for guidance on how to complete this questionnaire. In addition, the following offices are open for any further inquiries or clarifications;

Title	Department/Institution	Telephone	E-mail address
Deputy Director	Statistics Department, BANK OF UGANDA. P.O. BOX 7120, Kampala.	0414-231036	essemambo@bou.or.ug
Director	Directorate of Business & Industry, UGANDA BUREAU OF STATISTICS P.O. BOX 7186, Kampala.	0414-706017	peter.opio@ubos.org
Director	Investment Promotion & Business Development UGANDA INVESTMENT AUTHORITY P.O. BOX 7418, Kampala.	0778 064 693	pmulamira@ugandainvest.go.ug

6. What do I do after completing the questionnaire?

The duly filled questionnaire will be collected by the assigned interviewer or can be returned to the office of the Director, Statistics Department, Bank of Uganda, Plot 37/45 Kampala Road, before or within **fourteen (14) days from the date of delivery**. We encourage you to request and complete an electronic questionnaire should you prefer and to submit it by email to: etwinomugisha@bou.or.ug.

7. Will there be any feedback?

Yes! We will share with you the results of this survey in aggregate form and seek your further involvement in this exercise, as was the case in the earlier surveys. Aggregated results will also be posted on the following websites: <https://www.bou.or.ug> or <https://www.ubos.org> or <https://www.ugandainvest.go.ug>

C. Definitions

This questionnaire contains technical terms, some of which are explained below, in relation to the purpose of this survey. However, if you still encounter problems, and/or are uncertain of any terms or questions, please do not hesitate to call or email us for clarification (*please refer to the contacts in the Guideline No.5, Page ii for any assistance*).

1. Residency

Parts 1, 3 and 4 require data on operations between residents and non-residents **only**. Residency is based on the centre of economic/business interest. You are a resident if you have lived or operated (or intend to live or operate) in Uganda for a year or more, regardless of your nationality. Non-resident individuals or entities are those that have lived or operated (or intend to live or operate) outside Uganda's territory for a year or more (even if they are Ugandan). International Organisations that have shareholders who are governments such as the East African Development

Bank (EADB), African Development Bank (AfDB), International Finance Corporation (IFC) etc, should be treated as non-residents.

2. Investments and investment relationships

A direct investment relationship arises when an investor resident in one economy makes an investment that gives control or a significant degree of influence on the management of an enterprise that is resident in another economy. **Control** exists when the direct investor owns more than 50 per cent of the voting power in the direct investment enterprise (also called a subsidiary). A significant degree of **influence** exists when the direct investor owns from 10 to 50 percent of the voting power in the direct investment enterprise (also called associate).

Therefore, Direct Investment is defined as any ownership stake held by a non-resident in your entity that is 10% or more of total equity. The control or influence may be immediate (through ownership of voting power) or indirect (through ownership of enterprises that in turn have voting power). Ownership in your entity by non-residents that is less than 10% of the total equity is considered as **Portfolio or Other Investment** (PI or OI).

However, there are other investment relationships that are also of interest. These include your entity owning more than 10% of the total equity of a non-resident investor that in turn holds less than 10% of your equity stake. The 10% holding by such a non-resident investor is referred to as reverse investment and the non-resident investing enterprise is called a **Direct Investment Entity** (DIE).

In addition, the survey seeks to obtain information about non-resident investors who have less than 10% of the entity's equity, but are also owned by another non-resident entity that has interest in your entity. Your entity and the non-resident investing entity with less than 10% of total equity are referred to as **Fellow Enterprises** (FE). These relationships are required in **Tables 1.2, 3.1, 3.2 and 4**.

3. Book Value (Own Funds at Book Value)

Table 2.1 requires reporting on the basis of book value. **Book value** comprises of paid up share capital (at historical cost), share premium reserves, accumulated retained earnings, revaluation and any other financing item of an entity. These items should be readily available from your entity's financial statements. The statement of changes in equity is useful for completing **Table 2.1**. Accountants and financial managers refer to this as 'shareholders' funds' or 'owners equity'.

4. Foreign borrowing and/or lending transactions

This is requested for in **Table 3.1 for foreign debt liabilities** and **Table 4 for foreign debt assets**:

Table 3.1: Borrowing between; (i) your entity, and **affiliated** non-resident entities, i.e., where a shareholding relationship exists (e.g., head offices, branches, associate entities, subsidiaries). This should include trade credits (buyers' & suppliers' credit), if the transactions are between related entities.

(ii) your entity, and **non-affiliated** non-resident entities, i.e., no shareholding. This should include trade credits (buyers' and suppliers' credit), if the transactions are between unrelated entities.

Table 4: Lending between (i) your entity, and **affiliated** non-resident entities, i.e., where a shareholding relationship exists (e.g., head offices, branches, associate entities, subsidiaries). This should include trade credits (buyers' & suppliers' credit) if the transactions are between related entities.

(ii) your entity, and **non-affiliated** non-resident entities, i.e., no shareholding. This should include trade credits (buyers' and suppliers' credit), if the transactions are between unrelated entities.

THANK YOU FOR YOUR CONTINUED COOPERATION

Acknowledgement of Receipt of Questionnaire

I, _____ of _____ <i>(Name of recipient)</i> <i>(Name of entity)</i> Acknowledge receipt of the Private Sector Investment Survey (PSIS) 2022 Questionnaire.	
Title:	
Signature:	
Date of receipt & your Entity stamp:	
Name of Interviewer:	
Date agreed for collection of duly filled questionnaire and copy of financial statements	
Thank you for receiving and accepting to fill the questionnaire.	

This page should be filled-in by the person who is receiving the questionnaire on behalf of the entity at the time of delivery of this questionnaire by the interviewer. After it is signed, the interviewer should retain the original copy of this page.

QUESTIONNAIRE FOR PRIVATE SECTOR INVESTMENT SURVEY 2022

Issued under authority of the Investment Code (2019) Section 35(1), the Foreign Exchange Act. (2004) Section 9 (5) and the Uganda Bureau of Statistics Act. 1998 Section 16, 19

PART 1 General Information

(All respondents should complete Part 1, 2 and 5, while for Parts 3 and 4 the filtering questions on Page 8 (Table 1.12) will guide you on which parts to fill)

1.1 Name, Contacts and other information

1.1a Name of Entity: _____

1.1b Contact Person and Position _____

1.1c Alternative Contact Person and Position _____

1.1d Physical Address: _____ Postal Address: _____

Tel: _____ Fax: _____

E-mail: _____ Website: _____

1.1e Date of Commencement: _____ UIA License Number (if any): _____

1.2 Shareholding Structure of the Entity as at 31st December 2018, 2019, 2020 and 2021.

Table 1.2: Shareholding Structure as at 31st December 2018, 2019, 2020 and 2021

Name of Shareholder*	Nationality	Country of Residence	Ownership Stake (% Shareholding) as at:				Office Use (Type of Shareholder)			
			31-12-2018	31-12-2019	31-12-2020	31-12-2021	2018	2019	2020	2021
1.										
2.										
3.										
4.										
5.										
6.										
7.										
8.										

**Name of shareholders includes both the companies and individual investors. Please attach additional sheet in case you have more than eight (8) shareholders in your entity.*

Table 1.3. Related Parties: *Please enter the names enterprises related to your enterprise.*

Name of Related Party	Country of Residence	Office Use only (Investment Relationship)
1.		
2.		
3.		
4.		
5.		

Table 1.4. Entity Turnover during the calendar years 2018, 2019, 2020 & 2021 in Ushs

No	Item	2018	2019	2020	2021
1.	Total Turnover including Other Income				

Table 1.5: Compensation of employees and actual employment during 2019, 2020 & 2021 (Ushs)

Type of employees	Local			Foreign					
	Permanent resident			Short term (less than 12 months)			Long term (12 months or more)		
	2019	2020	2021	2019	2020	2021	2019	2020	2021
[A]. Compensation of Employees (Amount in UGX.)									
Salaries and Wages									
Fringe Benefits									
NSSF/Pension									
Directors Fees									
Other (specify)									
Total Compensation									
[B]. Actual employment in your enterprise (Number of employees)									
Total Employment									
Gender	<i>Male</i>								
	<i>Female</i>								
Nationality	<i>EAC</i>								
	<i>Rest of Africa</i>								
	<i>Austral Asia</i>								
	<i>Europe</i>								
	<i>Middle East</i>								
	<i>North America</i>								
	<i>South America</i>								

Table 1.6. Industrial Classification or Economic sector as at 31st Dec 2021 (ISIC, Revision 4)

Please tick a box in column 2, to indicate the Industrial Classification of your economic activities, and enter the percentage contribution of each Industrial Classification to the total entity turnover in the corresponding row in column 3.

Industrial Classification	Tick sector	% share contribution to entity's turnover
1. Agriculture, forestry, and fishing		
1.1. Crop and animal production, hunting and related activities		
1.11 Growing of non-perennial crops		
1.12 Growing of perennial crops		
1.13 Plant propagation		
1.14 Animal production		
1.15 Mixed farming		
1.16 Support activities to agriculture and post harvest		
1.2. Forestry and logging		
1.3. Fishing and aquaculture		
2. Mining and quarrying		
2.1. Mining of coal and lignite		
2.2. Extraction of crude petroleum and natural gas		
2.3. Mining of metal ores		
2.4. Other mining and quarrying		
2.5. Mining support service activities		
3. Manufacturing		
3.1. Manufacturing of food products		
3.2. Manufacture of beverages		
3.3. Manufacture of tobacco products		
3.4. Manufacture of textiles		
3.5. Manufacture of wearing apparel		
3.6. Manufacture of leather and related products		
3.7. Manufacture of wood and of products of wood and cork		
3.8. Manufacture of paper and paper products		
3.9. Printing and reproduction of recorded media		
3.10. Manufacture of coke and refined petroleum products		
3.11. Manufacture of chemical and chemical products		
3.12. Manufacture of pharmaceuticals, medicinal chemical and botanical products		
3.13. Manufacture of rubber and plastic products		
3.14. Manufacture of other non-metallic mineral products		
3.15. Manufacture of basic metals		
3.16. Manufacture of fabricated metal products, except machinery		
3.17. Manufacture of computer, electronic and optical products		
3.18. Manufacture of electrical equipment		
3.19. Manufacture of machinery and equipment		
3.20. Manufacture of motor vehicles, trailers and semi-trailers		
3.21. Manufacture of other transport equipment		
3.22. Manufacture of furniture		
3.23. Other manufacturing		
3.24. Repairs and installation of machinery and equipment		
4. Electricity, gas and air conditioning supply		
4.1. Electric power generation, transmission and distribution		
4.2. Manufacture of gas; distribution of gaseous fuels through mains		
4.3. Steam and air conditioning supply		
5. Water supply; sewerage, waste management and remediation activities		
5.1. Water collection, treatment and supply		
5.2. Sewerage		
5.3. Waste collection, treatment and disposal activities		
5.4. Remediation activities and other waste management services		
6. Construction		
6.1. Construction of buildings		

6.2. Civil engineering		
6.3. Specialized construction activities Construction		
7. Wholesale & retail trade; repair of motor vehicles and motorcycles services		
7.1. Trade and repair of motor vehicles and motorcycles		
7.2. Whole sale trade		
7.3. Retail trade		
7.4. Accommodation services		
8. Transportation and Storage		
8.1. Land transport and transport via pipeline		
8.2. Water transport		
8.3. Air transport		
8.4. Warehousing and support activities for transportation		
8.5. Postal and courier activities		
9. Accommodation and food service activities services		
9.1 Accommodation		
9.2 Food and beverage service activities		
10. Information and communication		
10.1. Publishing activities		
10.2. Motion picture, videos and television programme production , sound recording		
10.3. Programming and broadcasting activities		
10.4. Telecommunications		
10.5. Computer programming, consultancy and related activities		
10.6. Information service activities		
11. Finance and Insurance activities		
11.1. Financial service activities		
11.2. Insurance, reinsurance and pension funds		
11.3. Activities auxiliary to financial service and insurance activities		
12. Real estate activities		
12.1. Real estate activities		
13. Professional, scientific, and technical activities		
13.1. Legal and accounting activities		
13.2. Activities of head office; management consultancy activities		
13.3. Architectural and engineering activities		
13.4. Science research and development		
13.5. Advertising and market research		
13.6. Other professional, scientific and technical activities		
13.7. Veterinary activities		
14. Administrative and support service activities		
14.1. Rental and leasing activities		
14.2. Employment activities		
14.3. Travel agency and tour operator activities		
14.4. Security and investigation activities		
15. Education		
15.1. Education		
16. Human Health and Social work activities		
16.1. Human health activities		
16.2. Residential care activities		
17. Arts, entertainment, and recreation		
17.1. Creative, art and entertainment activities		
17.2. Libraries, archives, museum and other cultural activities		
17.3. Gambling and betting activities		
17.4. Sports, amusement and recreation activities		
18. Others		
18.1. Others (<i>specify</i>)		

1.6.1 Entity's main economic activities (Please, describe your entity's main activities)

.....

Table 1.7a. Values of imports & exports of goods during 2019, 2020 & 2021 in Ushs.

	Item	Receipts (revenues)			Payments (expenditure)		
		2019	2020	2021	2019	2020	2021
a)	Export of Good (Merchandise)						
b)	Import of Goods (Merchandise)						
c)	Merchandising*						

*Goods purchased from a non-resident & the subsequent resale to another non-resident without the said goods passing through Uganda.

Table 1.7b: Values of imports & exports of services during 2019, 2020 & 2021 in Ushs.

	Item	Income from exports of services			Expenditure on imports of services		
		2019	2020	2021	2019	2020	2021
a)	Operating Leasing services						
b)	Manufacturing services						
c)	Maintenance & repair services						
d)	Computer services						
e)	Charges for use of intellectual property						
f)	Information services						
g)	Professional, Management consulting						
h)	Research & Development services						
i)	Insurance premium						
j)	Insurance claims						
k)	Commissions, fees, other (excl. interest)						
l)	Audio visual & related services						
m)	Technical, trade related, other business						
n)	Personal, cultural, recreational services						
o)	Other non-resident receipt/expenditure						
Total (receipts/payments) with non-residents							

- a) Rental payments/receipts to/from non-residents for use of assets such as buildings, equipment without operators e.g., transport equipment, computer & telecom equipment
- b) Payments received/made to non-residents in respect to processing, assembly, labelling, packing & other similar services provided to/received by your enterprise.
- c) Payments received/made to non-resident entities for these services provided/received by your enterprise.
- d) Payments received/made to non-resident enterprises for providing services related to computer hardware, software & data processing services like installation, maintenance, management services.
- e) Payments made/received from the use of property rights: patents, brands, trademarks, design, copyright, franchises, & software from/to non-residents. License payments for the right to use intellectual property are also included here.
- f) Payments received/made to non-resident enterprises for the provision of news & other information services such as web-search portals by/to your enterprise.
- g) Payments received/made to non-resident enterprises for services such as legal, accountancy, tax, public relations, recruitment, advertising, business management & consulting.
- h) Payments received/or made for basic, applied & experimental research services, development of products & processes.
- i) Premiums for all types of insurance other than life and freight insurance.
- j) Claims received from non-resident insurance companies for all types of insurance other than life & freight insurance.
- k) Payments/receipts from/to non-residents for services & fees related to production of motion pictures (film, radio, TV).
- l) Receipts/payments made to non-residents for services such as waste treatment & depollution, architectural, engineering, agricultural & mining, trade related & other technical & business-related services.
- m) Receipts/payments from/to non-residents for services like health, education, museums, sporting, recreational activities.
- n) Receipts/payments from/to non-resident entities not classified above.

Table 1.8. Assets & Expense on Research & Development as at 31st Dec 2019, 2020 & 2021 in Ushs

A: Plant, Property & Equipment (PPE)/ Capital		Net Book Value 2018	Net Book Value 2019	Net Book Value 2020	Net Book Value 2021
1.	Land				
2.	Buildings & Civil works				
3.	Plant & Machinery				
4.	Vehicles				
5.	Computers & Accessories				
6.	Furniture & Fittings				
7.	Livestock & other animals				
8.	Intangible assets (<i>e.g. operations software</i>)				
9.	Other PPE assets (<i>specify</i>)				
10.	Research & Development				
Sub Total A:					
B: Current Assets		Net Book Value 2018	Net Book Value 2019	Net Book Value 2020	Net Book Value 2021
1.	Financial Assets (<i>Cash, Loans, Securities etc</i>)				
2.	Inventories				
3.	Other Current assets (<i>specify</i>)				
Sub Total B:					
Grand Total (Sub Total A + Sub Total B)					

Table 1.9. Depreciation, Taxes paid on Production & Imports, Subsidies received during 2018 to 2021

No.	Item	2018	2019	2020	2021
1.	Depreciation for the year				
2.	Taxes paid on Production & Imports				
3.	Subsidies received				

Table 1.10. Net profit/Loss, Dividends and Retained Earnings during 2018 to 2021

No.	Item	2018	2019	2020	2021
1	Net profit/loss (after tax) for the year				
2	Total dividends declared for the year				
3	Total dividends paid / profits remitted during the year				
4.	Total retained earnings for the year (<i>1 - 2</i>)				

Table 1.11. Corporate Social Responsibility during 2018 to 2021 in UShs.

No.	Item	2018	2019	2020	2021
1.	Education				
2.	Health and welfare				
3.	Safety and Security				
4.	Arts and Culture				
5.	Sports Development				
6.	Environment				
7.	Water				
8.	Road				
9.	Religious				
10.	Donation to other charity org.				
11.	Other (<i>Specify</i>)				
	Total				

Table 1.12. Please, indicate which parts (3 or 4) of this questionnaire are relevant to your enterprise by answering the three questions below.

Filtering Questions (FQ)		Yes	No
FQ1	Does your entity <u>borrow from non-resident</u> entities/individuals or have outstanding loans, trade credits and debt securities commitments? If YES , please complete Table 3.1a and Table 3.1b		
FQ2	Does your entity <u>borrow from resident</u> entities/individuals or have outstanding loans, trade credits and debt securities commitments? If YES , please complete Table 3.2a and Table 3.2b		
FQ3	Has your entity <u>extended credit or lent funds to non-resident</u> entities or individuals or does it have unpaid amounts (claims)? If YES , please complete Table 4.		

PART 2
Equity Investment (shares) in this Entity
(Liabilities)

2.0. Please complete **Tables 2.1a & 2.1b** with information on the value of equity investments in your enterprise in Uganda during years ended 2018, 2019, 2020 and 2021. **Report all values in the lowest Uganda shillings (UShs) units.** To ensure we do not have to get back to you for clarification, enter “N/A” where the question is not applicable to your enterprise.

2.1. Equity investment by non-resident(s) in your entity

Table 2.1a: Total equity in your enterprise for period ended December 2018 & 2019, & changes for each component of equity during the year 2019.

Book Value of Equity	<i>Paid-up Share Capital:</i>	<i>Share Premium:</i>	<i>Accumulated retained earnings/loss</i>	<i>Revaluations:</i>	<i>Others:</i>	TOTAL
1. As at 31st Dec 2018						
Changes due to:						
2 Purchases of shares						
3 Sales of shares						
4 Retained earnings / Loss in 2019						
5 Other changes (<i>inwards</i>)						
6 Other changes (<i>outwards</i>)						
7 Exchange rate gains / loss						
8. As at 31st Dec 2019: <i>(1 + 2 - 3 ± 4 + 5 - 6 ± 7)</i>						

Table 2.1b: Total equity in your enterprise for period ended December 2019, 2020 & 2021 & changes for each component of equity during 2020 & 2021.

Book Value of Equity	<i>Paid-up Share Capital:</i>	<i>Share Premium:</i>	<i>Accumulated retained earnings/loss</i>	<i>Revaluations:</i>	<i>Others:</i>	TOTAL
1. As at 31st Dec 2019						
Changes due to:						
2 Purchases of shares						
3 Sales of shares						
4 Retained earnings/Loss in 2020						
5 Other changes (<i>inwards</i>)						
6 Other changes (<i>outwards</i>)						
7 Exchange rate gains / loss						
8. As at 31st Dec 2020: (1 + 2 - 3 ± 4 + 5 - 6 ± 7)						
Changes due to:						
9 Purchases of shares						
10 Sales of shares						
11 Retained earnings / Loss in 2021						
12 Other changes (<i>inwards</i>)						
13 Other changes (<i>outwards</i>)						
14 Exchange rate gains / loss						
15. As at 31st Dec 2021: (8 + 9 - 10 ± 11 + 12 - 13 ± 14)						

PART 3

Non-Equity Liabilities (Debt)

3.0. Please complete **Tables 3.1a & 3.1b** for **foreign debt**, i.e., borrowing from non-resident entities, individuals, or organizations, and **Tables 3.2a & 3.2b** for **domestic debt**. **Report all values in the lowest Uganda Shillings (UShs) units.**

3.1. Foreign borrowing: Debt from non-resident(s) by your entity

Please note:

***Creditor type** can either be: *Direct Investor (DI), or Direct Investment Enterprise (DIE), or Fellow Enterprise (FE), or Other Investment (OI) or Unrelated entities.*

****Debt type includes:** *Loans, Trade credits*

*****Tenure:** *Long term* refers to original maturity of more than one year;
Short term refers to original maturity less than one year.

******Name of the Creditor:** *Name of the Individual, enterprise, or International Organisation from which your enterprise acquired foreign debt (Loans, Trade credits)*

Table 3.1a: Outstanding balances as at **31 December 2018 & 2019**, and transactions during **2019**

No	Particulars	Debt Instrument 1	Debt Instrument 2	Debt Instrument 3	Debt Instrument 4
1.	Creditor type*				
2.	Debt type**				
3.	Tenure *** [ST – short term or LT – long term]				
4.	Name of Creditor****				
5.	Creditor country/International organization				
6.	Original currency of liability				
7.	Total debt amount (original contracted)				
8.	Interest rate				
9.	Outstanding balance as at 31st Dec 2018				
	<i>o/w:</i> <i>Balance of principal</i>				
10.	Debt received from non-residents during 2019 (Disbursements)				
11.	Debt repaid to non-residents during 2019 (Principal repayments)				
12.	Interest paid during 2019				
13.	Other changes during 2019 (debt forgiveness, write-off, debt-equity swaps etc) Please specify.....				
14.	Fees and commission paid in 2019:				
15.	Exchange rate translation gains/loss				
16.	Outstanding balance as at 31st Dec 2019:				
	<i>(9+ 10 – 11 ± 13 ± 15)</i>				
	<i>o/w:</i> <i>Balance of principal</i>				
FOR OFFICE USE					
1.	<i>Estimates of interest due in 2019</i>				
2.	<i>Loan Reference Number</i>				

All bold fields are mandatory

Table 3.1b: Outstanding balances as at 31 December 2019, 2020, 2021, transactions during 2020 & 2021

No	Particulars	Debt Instrument 1	Debt Instrument 2	Debt Instrument 3	Debt Instrument 4
1.	Creditor type*				
2.	Debt type**				
3.	Tenure *** [ST – short term or LT – long term]				
4.	Name of Creditor****				
5.	Creditor country/International organization				
6.	Original currency of liability				
7.	Total debt amount (original contracted)				
8.	Interest rate				
	Outstanding balance as at 31st Dec 2019				
9.	<i>o/w:</i> <i>Balance of principal</i>				
10.	Debt received from non-residents during 2020 (Disbursements)				
11.	Debt repaid to non-residents during 2020 (Principal repayments)				
12.	Interest paid during 2020				
13.	Other changes during 2020 (debt forgiveness, write-off, debt-equity swaps etc) Please specify.....				
14.	Fees and commission paid in 2020:				
15.	Exchange rate translation gains/loss				
	Outstanding balance as at 31st Dec 2020:				
16.	<i>(9+10-11 ± 13 ± 15)</i> <i>o/w:</i> <i>Balance of principal</i>				
17.	Debt received from non-residents during 2021 (Disbursements)				
18.	Debt repaid to non-residents during 2021 (Principal repayments)				
19.	Interest paid during 2021				
20.	Other changes during 2021 (debt forgiveness, write-off, debt-equity swaps etc) Please specify.....				
21.	Fees and commission paid in 2021:				
22.	Exchange rate translation gains/loss				
23.	Outstanding balance as at 31st Dec 2021:				
	<i>(16+17-18 ± 20 ± 22)</i> <i>o/w:</i> <i>Balance of principal</i>				
FOR OFFICE USE					
1.	<i>Estimates of interest due in 2020</i>				
2.	<i>Loan Reference Number</i>				

All bold fields are mandatory

3.2. Domestic borrowing: Local and foreign currency liabilities from residents

Please complete **Tables 3.2a and 3.2b** for **domestic borrowing** from resident entities, individuals or organizations.

Please note:

- *Debt type includes:** Loans, Trade credits, overdrafts, financial leases
- **Type of Creditor:** Commercial bank, individual, development bank, enterprise, other financial institution, or international financial corporation that supplied the credit
- ***Tenure:** Please indicate the years: **Long term** refers to original maturity of more than one year. **Short term** refers to original maturity less than one year.

Table 3.2a: Outstanding balances as at 31st December 2018, 2019 & 2020, **transactions** during 2019 & 2020

No	Particulars	Debt Instrument 1	Debt Instrument 2	Debt Instrument 3	Debt Instrument 4
1.	Debt type*				
2.	Type of Creditor**				
3.	Creditor (Location of branch at which credit acquired)				
4.	Original currency of liability				
5.	Total debt amount (original contracted)				
6.	Interest rate				
7.	Tenure of the debt liability in years***				
8.	Outstanding balance as at 31st December 2018				
	<i>o/w:</i> <i>Balance of principal</i>				
9.	Debt received during 2019 (Disbursements)				
10.	Debt repaid during 2019 (Principal repayments)				
11.	Interest paid during 2019				
12.	Other changes during 2019: Please specify.....				
13.	Fees and commission paid in 2019:				
14.	Exchange rate translation gains/loss				
15.	Outstanding balance as at 31st December 2019				
	<i>o/w:</i> <i>Balance of principal</i>				
9.	Debt received during 2020 (Disbursements)				
10.	Debt repaid during 2020 (Principal repayments)				
11.	Interest paid during 2020				
12.	Other changes during 2020: Please specify.....				
13.	Fees and commission paid in 2020:				
14.	Exchange rate translation gains/loss				
15.	Outstanding balance as at 31st December 2020				
	<i>o/w:</i> <i>Balance of principal</i>				

Table 3.2b: Outstanding balances for the years as at 31st December 2020 & 2021, *transactions* during 2021

No	Particulars	Debt Instrument 1	Debt Instrument 2	Debt Instrument 3	Debt Instrument 4
1.	Debt type**				
2.	Type of Creditor***				
3.	Creditor (Location of branch at which credit acquired)				
4.	Original currency of liability				
5.	Total debt amount (original contracted)				
6.	Interest rate				
7.	Tenure of the debt liability in years****				
8.	Outstanding balance as at 31st December 2020*****				
	<i>o/w:</i>	<i>Balance of principal</i>			
9.	Debt received during 2021 (Disbursements)				
10.	Debt repaid during 2021 (Principal repayments)				
11.	Interest paid during 2021				
12.	Other changes during 2021 (debt forgiveness, write-off, debt-equity swaps etc) Please specify.....				
13.	Fees and commission paid in 2021:				
14.	Exchange rate translation gains/loss				
15.	Outstanding balance as at 31st December 2021				
	<i>o/w:</i>	<i>Balance of principal</i>			
FOR OFFICE USE					
1.	<i>Estimates of interest due in 2020</i>				
2.	<i>Loan Reference Number</i>				

PART 4

Investment (Assets) Abroad by this Entity

4.0. Non-equity assets in form of loans, trade credits and debt securities

Non-equity assets comprise long-term and short-term debt assets. Long-term debt assets constitute loans, trade credits, and debt securities (bonds, notes, bills, etc.) with original maturity of more than one year (i.e. more than 12 months) or with no stated maturity while short-term have original maturity of one year or less (i.e. 12 months or less).

Table 4a. External assets in terms of; loans, trade credits, and debt securities (bonds, notes, bills etc)

No	Items	Asset 1	Asset 2	Asset 3	Asset 4
1.	Debtor type*				
2.	Debt type**				
3.	Tenure [ST – short term or LT – long term]				
4.	Debtor country of residence/ International Organization				
5.	Original debt currency				
6.	Interest rate				
7.	Outstanding balance as at 31st Dec 2018***				
	O/w: <i>Balance of principal</i>				
8.	Debt extended to non-residents during 2019 (Disbursements)				
9.	Debt repaid by non-residents during 2019 (Principal repayments)				
10.	Interest received during 2019				
11.	Fees and commissions received in 2019:				
12.	Exchange rate translation gains/loss				
13.	Outstanding balance as at 31st Dec 2019				
	<i>= (7 + 8 - 9 ± 12)</i>				
	O/w: <i>Balance of principal</i>				
FOR OFFICE USE					
1.	Estimates of interest due in 2019				
2.	Loan Reference Number				

All rows in bold must be completed

* Debtor type can be either: Direct Investor (DI), or Direct Investment Enterprise (DIE), or Fellow Enterprise (FE), or Other Investment, or Unrelated enterprise.

** Debt type includes; Loans, Trade Credits, and Debt security (e.g. bonds, bills, notes etc).

*** Not applicable if credit was extended in 2019.

Note:

a) In case your entity lent to non-residents more than four (4) debt instruments (assets) please provide data on separate page or sheet.

b) The debt instrument is classified as short term if the original maturity of the asset was 12 months or less at the on its contract, otherwise it should be considered long-term.

Table 4b. External assets in terms of; loans, trade credits, debt securities (bonds, notes, bills etc)

No	Items	Asset 1	Asset 2	Asset 3	Asset 4
1.	Debtor type*				
2.	Debt type**				
3.	Tenure [ST – short term or LT – long term]				
4.	Debtor country of residence/ International Organization				
5.	Original debt currency				
6.	Interest rate				
7.	Outstanding balance as at 31st Dec 2019***				
	O/w: <i>Balance of principal</i>				
8.	Debt extended to non-residents during 2020 (Disbursements)				
9.	Debt repaid by non-residents during 2020 (Principal repayments)				
10.	Interest received during 2020				
11.	Fees and commissions received in 2020:				
12.	Exchange rate translation gains/loss				
13.	Outstanding balance as at 31st Dec 2020 <i>= (7 + 8 – 9 ± 12)</i>				
	O/w: <i>Balance of principal</i>				
14.	Debt extended to non-residents during 2021 (Disbursements)				
15.	Debt repaid by non-residents during 2021 (Principal repayments)				
16.	Interest received during 2021				
17.	Fees and commissions received in 2021:				
18.	Exchange rate translation gains/loss				
19.	Outstanding balance as at 31st Dec 2021 <i>= (13 + 14 – 15 ± 18)</i>				
	O/w: <i>Balance of principal</i>				
FOR OFFICE USE					
1.	<i>Estimates of interest due in 2020</i>				
2.	<i>Loan Reference Number</i>				

PART 5 Investor Perceptions

(All respondents should complete this part)

Instructions for Questions in Table 5.1 – 5.4

In tables 5.1 – 5.4 please rate the effect (on your business activities) of each factor on a scale of -2 to +2 where:

+2 = “Strong positive effect”, +1 = “Limited positive effect”, 0 = “No effect”, -1 = “Limited negative effect” and -2 = “Strong negative effect”

Please indicate your rating in the space provided ()

Table 5.1: Effect of Economic and financial factors to your business entity

No.	Factor	Rating 2021
5.1.1	Domestic market size	()
5.1.2	Access to Regional markets	()
5.1.3	Competition with imports	()
5.1.4	Access to international markets	()
5.1.5	Corporate tax	()
5.1.6	Customs and excise duty	()
5.1.7	Interest rate	()
5.1.8	Exchange rate	()
5.1.9	Inflation rate	()
5.1.10	Access to local business finance/credit	()
5.1.11	Access to regional business finance	()
5.1.12	Access to international finance	()
5.1.13	Smuggling	()
5.1.14	Corruption	()
5.1.15	Others (<i>specify</i>)	()

Table 5.2: Efficiency and cost of the following support services

No.	Factor	Rating in 2021	
		Efficiency	Cost
5.2.1	Electricity	()	()
5.2.2	Road transport	()	()
5.2.3	Railway transport	()	()
5.2.4	Water transport	()	()
5.2.5	Air transport	()	()
5.2.6	Postal services	()	()
5.2.7	Telecommunication	()	()
5.2.8	Internet	()	()
5.2.9	Customs services	()	()
5.2.10	Internal revenue services	()	()
5.2.11	Insurance services	()	()
5.2.12	Banking services	()	()
5.2.13	Water supply	()	()
5.2.14	Immigration services/ work permits	()	()
5.2.15	Municipal services (i.e. garbage, sewerage etc)	()	()
5.2.16	Land title/Lease services	()	()
5.2.17	Legal services	()	()
5.2.18	Licenses/ permit fees	()	()
5.2.19	Others (<i>specify</i>)	()	()

Table 5.3: Effect of Labour, Environmental and Health factors to your business activities

No.	Factor	Rating 2021
5.3.1	Restrictions regarding hiring expatriates	()
5.3.2	Staff turnover	()
5.3.3	Wage levels	()
5.3.4	Availability of skilled labour locally	()
5.3.5	Cost of skilled labour	()
5.3.6	Productivity of skilled labour	()
5.3.7	Productivity of unskilled labour	()
5.3.8	Cost of un skilled labour	()
5.3.9	NSSF/Pension contribution	()
5.3.10	Malaria	()
5.3.11	HIV/AIDS	()
5.3.12	Climate changes	()
5.3.13	Soil degradation	()
5.3.14	Land and boarder conflicts	()
5.3.15	Covid 19	()
5.3.16	Other Diseases (<i>specify</i>)	()

Table 5.4: Efficiency of Regulatory and Other government agencies to your business activities
Please arrange the Agencies in that order as amended in the table below.

No.	Factor	Rating 2021
5.4.1	Uganda Registration Services Bureau	()
5.4.2	Uganda Revenue Authority	()
5.4.3	Uganda Investment Authority	()
5.4.4	Department of Immigration, Ministry of Internal Affairs	()
5.4.5	National Environmental Management Authority	()
5.4.6	Ministry of Lands, Housing & Urban Development	()
5.4.7	Local Authorities (e.g. KCCA)	()
5.4.8	Electricity Regulatory Authority	()
5.4.9	Uganda National Bureau of Standards	()
5.4.10	Bank Of Uganda	()
5.4.11	Uganda Communications Commission	()
5.4.12	Geological Survey and Mines Department	()
5.4.13	Ministry of Energy and Mineral Development	()
5.4.14	National Drug Authority (NDA)	()
5.4.15	Ministry of Education and Sports	()
5.4.16	National Council of Higher Education	()
5.4.17	Civil Aviation Authority	()
5.4.18	Ministry of Works and Transport (Transport Licensing Board)	()
5.4.19	Fisheries Department, MAAIF	()
5.4.20	Ministry of Health	()
5.4.21	Cotton Development Organization (CDO)	()
5.4.22	Insurance Regulatory Authority	()
5.4.23	Dairy Development Authority	()
5.4.24	Ministry of Trade, Industry and Cooperatives	()
5.4.25	National Forestry Authority (NFA)	()
5.4.26	Uganda National Chamber of Commerce & Industry	()
5.4.27	Privatization Unit	()
5.4.28	Legal System (e.g. Uganda Law Reform Commission)	()
5.4.29	The Parliament of the Republic of Uganda	()
5.4.30	Private Sector Foundation Uganda (PSFU)	()
5.4.31	Judicial Services (e.g. Commercial Court)	()
5.4.32	Uganda Bureau of Statistics	()
5.4.33	National Information and Telecommunication Authority (NITA)	()
5.4.34	Other (<i>specify</i>)	()

Table 5.5: Please indicate the direction of your investment in Uganda in the next 3 years.

In this Table please indicate the direction of your investments in Uganda in the next 3 (three) years of each aspect on a scale of **-1** to **+1** where:

+1 = “Expand”, 0 = “Maintain” and -1 = “Scale Down”

Please enter your score on each aspect in the space provided ()

No.	Aspect	Score
		2021
5.5.1	Diversify range of goods and services produced	()
5.5.2	Diversify in other sectors	()
5.5.3	Staff training	()
5.5.4	Recruitment of nationals	()
5.5.5	Recruitment of expatriates	()
5.5.6	Gender balance in recruitment	()
5.5.7	Investment in advanced technology of production	()
5.5.8	Import of capital goods (i.e. machinery)	()
5.5.9	Export of goods and services	()
5.5.10	Construction of new buildings or structure	()
5.5.11	Improvement of existing facilities	()
5.5.12	Mergers and Acquisition or joint ventures	()
5.5.13	Others (<i>specify</i>)	()

Table 5.6: On a scale of 0 – 2 please rate the usefulness of the listed sources of information in your investment decisions where:

+2 = “Very useful”, +1 = “Quite useful” and 0 = “Not useful”

Please enter your score on each source in the space provided ()

No.	Source /Utility	Rating
		2021
5.6.1	Local media	()
5.6.2	Regional media	()
5.6.3	International media	()
5.6.4	Internet	()
5.6.5	Government agency/ publications	()
5.6.6	Donor and international agencies	()
5.6.7	Business associates	()
5.6.8	Competitors	()
5.6.9	Workshops, conferences, seminars and/or trade fairs	()
5.6.10	Word of mouth	()
5.6.11	Other (<i>specify</i>)	()

Please indicate below any issue not discussed in this questionnaire that you may wish to bring to the attention of policy makers.

Once again we wish to assure you that the information you have provided will be treated with strict confidentiality.

Kindly certify this information.

Name: _____

Address: _____

Signature: _____ Date: _____ Stamp: _____

THANK YOU FOR YOUR COOPERATION AND FOR PROVIDING YOUR FINANCIAL STATEMENTS.

Name of Interviewer: _____ Signature: _____ Date: _____

General remarks / comments

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